

國立陽明交通大學著作彙編之學位論文應行注意事項

National Yang Ming Chiao Tung University Rules for Thesis by Publication

112年5月30日111學年度第4次教務會議通過
Adopted at the 4th Academic Affairs Meeting of Academic Year 2022 on May 30, 2023
113年1月4日112學年度第2次教務會議修正通過第2、4、5點
Articles 2, 4 and 5 amended at the 2nd Academic Affairs Meeting of Academic Year 2023 on January 4, 2024

- 一、國立陽明交通大學（以下簡稱本校）基於學術研究自由，為促進學生學位論文之系統性、延續性、深度與廣度，並建立學術識別度，在符合「本校博碩士學位論文格式規範」下，論文正文得以著作彙編形式為之，以下稱「著作彙編之學位論文」（Thesis by Publication, TBP），特訂定本注意事項。
 1. To guarantee the freedom of academic research, promote the systematization, continuity, depth and breadth of the candidate's dissertation, and establish academic recognition, National Yang Ming Chiao Tung University (hereinafter referred to as the school) has laid down these rules for theses in the form of a series of publications, hereinafter referred to as 'thesis by publication, or TBP', which are submitted following the school's Format Requirements for Ph.D. and Master's Dissertations.
- 二、前點所稱著作彙編之學位論文，係指學生將在校期間已公開發表或已接受刊登在期刊、研討會之學術著作、專書、專書篇章或專利等，以部分或全部根據研究主軸彙編成為其學位論文。
 2. The term "Thesis by Publication" mentioned above refers to the situation where students compile, either partially or entirely, their academic works, including those publicly presented or accepted for publication in journals, seminars, **books, book chapters**, or patents, during their enrollment at the university, **based on the research theme**, to form their thesis.
- 三、著作彙編之學位論文獲得學位之採認準則，如學術著作收錄篇數、收錄年限、期刊或研討會等級、收錄內容應具備篇幅、該學生作為作者之排序及專利權歸屬比例等，得由各學院（系、所）自行訂定之。
 3. The criteria for accepting a TBP before a degree is conferred on the candidate - for instance, the number of academic works included, the year in which the works are published, the level of journals or seminars to which the works are submitted, the length of the works' content, the rank of the candidate in terms of authorship order, and the proportion of patent rights held by the candidate -

should be determined by each college (department or institute) .

- 四、學生欲採著作彙編之學位論文方式獲得學位，應先與其指導教授討論及取得共識，並填寫附表一「國立陽明交通大學學位論文發表形式確認書」，且應於論文適當處詳實揭露彙編著作之完整書目資訊。

學位論文若採著作彙編形式為之，學生須於學位論文考試前填寫附表二「國立陽明交通大學著作彙編之學位論文資訊及彙編學術著作之共同作者貢獻聲明書」(以下簡稱著作彙編聲明書)，交予學位考試委員評審。

前項需填寫之附表應納入學位論文中，並上傳至本校博碩士論文系統。

4. Students who wish to obtain their degree through the format of a Thesis by Publication should first discuss and reach a consensus with their advisor. They are also required to fill out Attachment 1, National Yang Ming Chiao Tung University **Thesis Format Form**, and disclose detailed bibliographic information of the compiled works at the appropriate sections of the thesis.

If the thesis adopts the form of a Thesis by Publication, students **must** fill out Attachment 2, National Yang Ming Chiao Tung University Information and Co-author Contribution Statement of Thesis by Publication (hereinafter referred to as the Thesis by Publication Statement), and submit it to the degree examination committee for review before the thesis examination.

The aforementioned attachment forms must be included in the thesis and uploaded to the university's Electronic Theses and Dissertations System.

- 五、著作彙編之學位論文，應依「本校研究生學位授予作業規章」規定，於學位考試舉行前完成論文原創性比對報告，並告知考試委員，俾利委員判斷比對結果之影響。

學位考試結束後，如有修改論文內容者，應將定稿版之論文內容，再次完成論文原創性比對報告，並送指導教授確認。

通過學位考試之學生，應依「本校研究生學位授予作業規章」規定，填具「學位論文學術倫理暨原創性比對聲明書」，連同學位論文原創性比對報告電子檔，上傳至本校博碩士論文系統。

5. Before taking their dissertation examination, the candidate should complete, in accordance with NYCU's postgraduate degree conferral regulations, a report of academic originality comparison for their TBP and inform the examination committee so that they can evaluate the comparison results.

After the dissertation examination, if the candidate modifies the content of their thesis, they should complete, once again, a report of academic originality comparison for the final version and send it to their advisor for confirmation.

Students who **pass the degree examination** should fill out the Statement of Academic Ethics and Originality Comparison **in accordance with the National Yang Ming Chiao Tung University Regulations for Conferral of Graduate Degrees**, and upload it along with the electronic file of the originality comparison report for the thesis to the school's Electronic Theses and Dissertations System.

- 六、論文考試舉行時，學生應熟稔著作彙編之學位論文的全部內容，並進行報告；不得以部分內容非屬己身執行或負責為由，規避回應。
6. When taking the dissertation examination, the candidate should familiarize themselves with the entire content of their TBP, and they should not avoid answering questions on the grounds that they didn't contribute, or were not responsible for contributing, to certain parts of their TBP.
- 七、學位考試委員對著作彙編之學位論文，應審定該學生於彙集之學術著作中是否具備相當程度之貢獻、創新性與獨立研究能力，並符合本校及學術領域普遍接受之水準。
7. The degree examination committee should evaluate the candidate's TBP to determine whether the student has demonstrated a certain degree of contribution, innovation and independent research ability in the included academic works, and has met the standards generally accepted by the school and the academic field.
- 八、本注意事項經教務會議通過後實施，修正時亦同。
8. These rules and any amendment will be implemented after being approved by an Academic Affairs Meeting.

Attached Form 1

National Yang Ming Chiao Tung University

Thesis Format Form

I, _____, have discussed with my advisor and reached a consensus on _____(day/month/year) according to ‘National Yang Ming Chiao Tung University Rules for Thesis by Publication’ and have filed the Information and Co-author Contribution Statement of Thesis by Publication truthfully.

My thesis is in the form of a series of publications in line with the school’s Format Requirements for Ph.D. and Master's Dissertations and the criteria for accepting a TBP determined by my college (department or institute).

My thesis is not in the form of a series of publications.

My signature:

My student ID:

The advisor’s signature:

The co-advising professor’s signature (exempt if none):

Signature or seal of the director of the department (degree program):

Date: (mm-dd-yyyy).

附表二

國立陽明交通大學

著作彙編之學位論文資訊及彙編學術著作之共同作者貢獻聲明書

基於學術研究誠信，「著作彙編之學位論文」學生（以下稱聲明人）茲聲明彙編學術著作之相關資訊及共同作者貢獻度。

一、聲明人相關資訊

(一) 學生姓名：
(二) 就讀系所：
(三) 學位論文名稱：（請自行填入學位論文標題）

二、彙編著作之相關資訊

各篇彙編著作之資訊 ^{註一}						
<u>彙編著作為內容之論文章節</u>						
<u>類型：期刊或研討會之學術著作</u>						
期刊或研討會名稱	(請自行填入期刊名稱或研討會名稱)					
著作標題	(請自行填入著作標題)					
出版或發表情況	<input type="checkbox"/> 已刊登或發表： 年 月 日。 連結網址： https://doi.org/xxxxxxxxxxxxxxxx <input type="checkbox"/> 已接受刊登或發表（請附上接受證明）： 年 月 日。					
「著作彙編之學位論文」作者（學生）就此著作之貢獻度聲明						
貢獻說明						
貢獻程度於整篇學術論文之百分比						
共同作者之相關訊息及分工事項						
<u>作者排序</u> (請標明通訊作者)	姓名	<u>著作中登載作者所屬之單位</u>	<u>分工事項</u> (得加註貢獻度百分比)	是否曾納入下述共同作者的學位論文或教師送審著作	<u>簽名</u> ^{註二}	日期
第一作者						

第二作者						
第三作者						

類型：專利

<u>專利類型</u>	<input type="checkbox"/> 發明專利 <input type="checkbox"/> 新型專利 <input type="checkbox"/> 設計專利
<u>專利名稱</u>	
<u>創作人</u>	
<u>專利權人</u>	
<u>專利期間</u>	

類型：專書(專書篇章)

<u>專書名稱</u>	
<u>出版社</u>	
<u>出版日期</u>	

「著作彙編之學位論文」作者(學生)就此專書(專書篇章)之貢獻度聲明

<u>貢獻說明</u>	
<u>貢獻程度於整本或整篇著作之百分比</u>	

共同作者之相關訊息及分工事項

<u>作者(若有排序請標記)</u>	<u>姓名</u>	<u>著作中登載作者所屬之單位</u>	<u>分工事項(得加註貢獻度百分比)</u>	<u>是否曾納入下述共同作者的學位論文或教師送審著作</u>	<u>簽名^{註二}</u>	<u>日期</u>

備註：

- 一、若您的「著作彙編之學位論文」是由一篇以上著作彙編而成，請依「類型」自行增列表格填寫，並詳實登錄「論文正文章節」與「各篇彙編著作之資訊」等欄位。
- 二、因故無法取得共同作者之簽名，請於簽名欄位釋明之。

以上聲明均為屬實，如有不實，聲明人願意負相關法律與學術倫理責任。

聲明人簽名：

指導教授簽名：

共同指導教授簽名（無則免）：

系所單位戳章：

中 華 民 國

年

月

日

Attached Form 2

National Yang Ming Chiao Tung University Information and Co-author Contribution Statement of Thesis by Publication

To maintain academic and research integrity, the candidate of TBP (hereinafter referred to as the declarant) hereby declares the relevant information of the TBP and the contribution of co-authors.

1 、 The Declarant's Profile

(1) Name:
(2) Department/Program:
(3) The thesis title : (Fill in here)

2 、 The Relevant Information of My TBP

The Information of Each Publication note 1	
In which chapters of the thesis is the publication included	
Type: Academic Work in Journals or Conferences	
Journal or Conference Name	(Please fill in the name of the journal or conference)
Work Title	(Please fill in the title of the work)
Publication or Presentation Status	<input type="checkbox"/> Published or Presented: YYYY MM DD. Link: https://doi.org/xxxxxxxxxxxxxxxx <input type="checkbox"/> Accepted for Publication or Presentation (Please attach acceptance proof): YYYY MM DD.

Declaration of Contribution by the Author (Student) to this Work Compilation						
Contribution Explanation						
Percentage contribution to the entire academic thesis						
Relevant Information and Responsibilities of Co-Authors						
Author Order (Please specify corresponding author)	Name	Affiliation of the author as listed in the work	Division of labor (the percentage of contribution must be indicated)	Has this paper been included in the dissertation or faculty promotion work by the following co-authors	Signature note 2	Date
1 st Author						
2 nd Author						
3 rd Author						
Type: Patent						
Patent Type	<input type="checkbox"/> Invention Patent <input type="checkbox"/> Utility Model Patent <input type="checkbox"/> Design Patent					
Patent Title						
Inventors						
Patent Holder						
Patent Period						
Type: Book (Book Chapter)						
Book Title						
Publisher						
Publication Date						
Declaration of Contribution by the Author (Student) to this Book (Book Chapter)						
Contribution Explanation						

Percentage contribution to the entire academic thesis						
Co-authors' info and division of labor						
The unit to which the co-author belongs	Name	Division of labor (the percentage of contribution must be indicated)	Has this paper been included in the dissertation or faculty promotion work by the following co-authors	Signature^{note 2}	Date	The unit to which the co-author belongs
Notes: 1. If your TBP includes more than one publication, please add forms according to types and fill in the details of the fields below 'Thesis Body Section' and 'The Information of Each Publication'. 2. If the signature of the co-author cannot be obtained for some reason, please explain it in the signature field.						

The above statements are all true. If there is any falsehood, the declarant is willing to bear the relevant legal and academic ethical responsibilities.

The declarant's signature:

The advisor's signature:

The co-advising professor's signature (exempt if none):

Seal of the department:

Date: (mm-dd-yyyy).